



CUSTOMER NUMBER 25268

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Wang et al. Attorney Docket No. UNIV0185  
Serial No.: 10/655,482 Group Art Unit: 3737  
Filed: September 4, 2003 Examiner:  
Title: INTEGRATED OPTICAL SCANNING IMAGE ACQUISITION AND  
DISPLAY

INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

January 23, 2004

TO THE COMMISSIONER FOR PATENTS:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed non-U.S. patent publications and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. \_\_\_\_\_ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided \_\_\_\_\_.
- ☒ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☐ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. \_\_\_\_\_ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. \_\_\_\_\_ the fee set forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ is enclosed.

1     \_\_\_\_\_ 6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed  
2     after the mailing date of either:

3 a. \_\_\_\_\_ a final action under 37 C.F.R. § 1.113; or

4 b. \_\_\_\_\_ a notice of allowance under 37 C.F.R. § 1.311,

but before payment of the issue fee. The statement is accompanied by a  
6 certification as specified in 37 C.F.R. § 1.97(e), a statement requesting  
7 consideration of the information disclosure statement, and the petition fee set  
8 forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of  
\$ \_\_\_\_\_ is enclosed.

9 X 7. Please charge any additional fees or credit any overpayment to Deposit Account  
10 No. 01-1940. A copy of this sheet is enclosed.

Respectfully submitted,

Thomas Marguis

Thomas R. Marquis  
Registration No. 46,900

17 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed  
envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents,  
18 P.O. Box 1450, Alexandria, Virginia 22313-1450, on January 23, 2004.

19 Date: January 23, 2004

ny prepaid addressed to: Commi  
nuary 23, 2004.



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**INFORMATION DISCLOSURE STATEMENT LISTING SHEET**

**Information Cited By Applicant(s) That May Be Material To  
The Prosecution Of The Subject Application**

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Serial No.: 10/655,482 Group Art Unit: 3737  
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Title: INTEGRATED OPTICAL SCANNING IMAGE ACQUISITION AND  
DISPLAY

**U.S. PATENT DOCUMENTS**

*Examiner Initial	ID	Document No.	Date	Name	Class	Sub- Class
	US1	6,563,105	05/13/2003	Seibel et al.	250	208.1
	US2	6,441,359	08/27/2002	Cozier et al.	250	216
	US3	6,294,775	09/25/2001	Seibel et al.	250	208.1
	US4	6,097,528	08/01/2000	Lebby et al.	359	251
	US5	5,727,098	03/10/1998	Jacobson	385	31
	US6	5,715,337	02/03/1998	Spitzer et al.	385	4
	US7	5,231,286	07/27/1993	Kajimura et al.	250	234
	US8	4,454,547	06/12/1984	Yip et al.	358	293

**FOREIGN PATENT DOCUMENTS**

*Examiner Initial	ID	Document No.	Publication Date	Country	Class	Sub- Class	Translation?
	F1	EP 1 142 529 A1	10/10/2001	Europe	A61B	1/00	Yes

**OTHER INFORMATION**

*Examiner Initial	Document No.	Document Information
	O1	Wang, Wei-Chih et al. 2003. "Deep Reactive Ion Etching of Silicon Using An Aluminum Etching Mask." Proceedings of SPIE. 4876:633-640.
	O2	Micro-Chem. Rev. 2/2002. "NANO™ SU-8 2000 Negative Tone Photoresist Formulations 2002-2025." 4pp.

### OTHER INFORMATION

<u>*Examiner Initial</u>	<u>Document No.</u>	<u>Document Information</u>
_____	O3	Borreman, A. et al. 2002. "Fabrication of Polymeric Multimode Waveguides and Devices in SU-8 Photoresist Using Selective Polymerization." <i>Proceedings Symposium IEEE/LEOS Benelux Chapter</i> , Amsterdam: pp.83-86.
_____	O4	MicroChem. 2001. "SU-8 Resists." 1pg. Available <a href="http://www.microchem.com/products/su_eight.htm">http://www.microchem.com/products/su_eight.htm</a> .
_____	O5	"Optical MEMS 2000 Invited Speakers: Advance Program." 2000. Sponsored by IEEE Lasers and Electro-Optics Society. 16pp. Available: <a href="http://www.ieee.org/organizations/society/leos/LEOSCONF/MEMS/omspeak.html">http://www.ieee.org/organizations/society/leos/LEOSCONF/MEMS/omspeak.html</a> .
_____	O6	Dickensheets, D.L. and G.S. Kino. 5/15/1996. "Micromachined scanning confocal optical microscope." <i>Optics Letters</i> :21:10:764-766.
_____	O7	Dickensheets, D. and G.S. Kino. 1994. "A Scanned Optical Fiber Confocal Microscope." <i>Three-Dimensional Microscopy</i> : 2184:39-47..

\_\_\_\_\_  
Examiner's Signature

\_\_\_\_\_  
Date

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TRM/RMA:ssa  
1/23/04